

□ Declaration Submitted

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| SUBSTITUTE DECLARATION FOR UTILITY OR | | | Attorney Docket Numi | ber PACFI-001C1 | | | | |
| | DE | ESIGN | First Named Inventor | P. Bennett et al. | | | | |
| PATENT APPLICATION (37 CFR 1.63) | | | COMPLETE IF KNOWN | | | | | |
| | | | Application Number | 09/440,903 | | | | |
| Declaration Submitted with Initial Filing | | Declaration Submitted after Initial Filing (surcharge | Filing Date | 11-06-99 | | | | |
| | OR | | Group Art Unit | 3727 | | | | |
| | | (37 CFR 1.16 (e)) required) | Examiner Name | Unknown | | | | |

| As a below named inventor, I hereby declare that: | | | | | | | | | | |
|---|--|---|-------------------------|-------------------|------------------|--|--|--|--|--|
| My residence, post office address, and citizenship are as stated below next to my name. | | | | | | | | | | |
| I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: | | | | | | | | | | |
| TAMPER-EVIDENT CONTAINER CLOSURE | | | | | | | | | | |
| the specification of which is attached hereto OR | | | | | | | | | | |
| | ■ Was filed on (MN/DD/YYYY) ■ 11-06-99 ■ as United States Application Number or PCT International | | | | | | | | | |
| | 440,903 and w | vas amended on (MM/DD/Y | m [| | (if applicable). | | | | | |
| I hereby state that I have revie amended by any amendment s | wed and understand the specifically referred to ab | contents of the above ident | lified specificatio | in, including the | claims, as | | | | | |
| I acknowledge the duty to disci | I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56. | | | | | | | | | |
| hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of parenca, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed. | | | | | | | | | | |
| or Foreign Application Number(s) Country | | Foreign Filling Date (MM/DD/YYYY) | Priority Not Claimed | Certifled Co | py Attached? | | | | | |
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| Additional foreign application | numbers are listed on a | supplemental origity data s | threat PTO/SB/0 | 2D attached how | | | | | | |
| Additional foreign application | numbers are listed on a 35 U.S.C. 119(e) of any | supplemental priority data s | theet PTO/SB/0: | 2B attached here | elo: | | | | | |
| Additional foreign application I hereby claim the benefit under Application Number(s) | 135 U.S.C. 119(e) of any | supplemental priority data s y United States provisional a | sheet PTO/SB/0 | 2B attached here | eto: | | | | | |

[Page 1 of 2]

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PTO/S8/01 (12-97)

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| DECLARATION — Utility or Design Patent Application | | | | | | | | | | | | |
|--|---|---------------------------------|----------------|--|------------|---------------|--------------|--------------|--------------|----------------------|----------------|--|
| I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application. | | | | | | | | | | | | |
| U.S. Parent Application or PCT Parent Parent Filing Date Parent Patent Num | | | | | | | | | | | | |
| | | Number | | | | | D/YYYY) | | | (if applica | | |
| 09/014,075 | | | | | 01-27-98 | | | | | | | |
| Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto. | | | | | | | | | | | | |
| As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Paten and Trademark Office connected therewith: Customer Number Place Customer | | | | | | | | | | | | |
| and Irademan | X OHICS | connected (herewith: | Customer Num | nber | | | | | ▶ | Place Cus | tomer · | |
| | | <u> </u> | Registered pra | ctitioner(s) | name/re | oistrati | on number l | iclad hak | | Number 8a Lahel h | | |
| | Nar | | Hegisi | tration | | , , , , , , , | | | | Registration | | |
| Kit M. | Stet | ina | 29, | <u>1445</u> | | (The | Nar | | | | umber | |
| Bruce B Mark B. | . Bri | ında | 28, | 497 | - 1 | Eric | mas C. | Nabe neza | r ki | 40 | ,777 196 | |
| William | J. I | Brucker | 34, | 823 462 | | Eric | Ç K. Pi | oul | | 45 | , 196 , 025 | |
| | | Newboles | 36, | 28,497 34,823 35,462 36,224 Eric K. Proul In H. Kim | | | | | | 1 | , 184 | |
| Additional | registere | d practitioner(s) named or | supplemental | Registered | Practition | oner Ini | formation sh | eel PTO | /SB/02 | C allached her | elo. | |
| Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto. Direct all correspondence to: Customer Number or Bar Code Label OR X Correspondence address below | | | | | | | | | | | | |
| Name | KIT | KIT M. STETINA | | | | | | | | | | |
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| Country | U.S | | Telephon | | | | | | 49) 855–6371 | | | |
| hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are builtshable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. | | | | | | | | | | | | |
| Name of Sole or First Inventor: | | | | | | | | | | | | |
| Given Name (first and middle (if anvl) Family Name or Surname | | | | | | | | | | | | |
| | ul H | · | | | Ве | mne | | | | | | |
| Inventor's Signature | Paul & Kennel | | | | | Date 6-14-0 | | | | | | |
| Residence: City San Dimas | | | State | CA | Cour | ntry | U.S. | Α. | | Citizenship | U.S. | |
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| ny | San Dimas State CA ZIP 91773 Country U.S.A. | | | | | | | | | | | |
| Additional in | nventor | s are being named on | the 02 supp | olemental | Additio | nal In | ventor(s) s | heel(s) | PTO/S | 58/02A attac | hed herelo | |

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 2 of 3

| Name of Additional Joint Inventor, if any: | | | | | | | | ventor | | | | |
|--|------------------------|-----------|-------|---|----|-----------------------|------------|---------|--------|------------|-----|--|
| Given Name (first and middle (if any)) | | | | | - | Family Name or Sumame | | | | | | |
| Thom M. | | | | | | Perlmutter | | | | | | |
| inventor's Signature | Thon Lit | | | | | | | | | 6 Kg- 2000 | | |
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| Post Office Address | | | | | | | | | | | | |
| City | Redondo B | each | State | C | λ. | ZIP | 90278 | Countr | ט ע | .s. | A . | |
| Name of Additional Joint Inventor, if any: RedOndo Beach State CA ZIP 90278 Country U.S.A. A petition has been filed for this unsigned inventor | | | | | | | | | | | | |
| Given Na | me (first and middle | (if any)) | | | | | Family Nar | ne or S | Sumame | I | | |
| | | | | | | | | | | | | |
| Inventor's Signature | | | | | | ····· | | | Da | ıte | | |
| Residence: City | | | State | | | Country | | | Citize | | | |
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| City | | | State | | | ZIP | · | Coun | try | | | |
| Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor | | | | | | | | | | | | |
| Given Na | me (first and middle (| [if any]) | | | | | Family Nam | ne or S | umame | | | |
| Tamy Tand of Contains | | | | | | | | | | | | |
| Inventor's Signature | | | | | | | Date | | | | | |
| Residence: City | State | | | | | Country Citizenship | | | | | | |
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| City | | | ZIP | | Co | Country | | | | | | |

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PACFI-001C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul H. Bennett

09/440,903

Examiner: Unknown

Serial No.:

Group No.: 3727

Filed:

November 16, 1999

For:

TAMPER-EVIDENT

CONTAINER CLOSURE

STATEMENT/DECLARATION UNDER 37 C.F.R. § 1.324(b)

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON D C 20231

Dear Sir/Madam:

I, PAUL H. BENNETT, do declare that I am an inventor of the above-identified United States Patent Application No. 09/440,903 and hereby agree to the change of inventorship of said Patent Application through the addition of Thom Perlmutter as a joint inventor, and further I do declare that the facts set forth in this Declaration are true and all statements made of my own knowledge are true and all statements made on information and belief are believed to be true and further that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of U.S. Patent Application No. 09/440,903.

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Case: PACFI-001C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul H. Bennett

Examiner: Unknown

Serial No.:

09/440,903

ner: onknown

Filed:

November 16, 1999

For:

TAMPER-EVIDENT

CONTAINER CLOSURE

Group No.: 3727

STATEMENT/DECLARATION UNDER 37 C.F.R. § 1.324(b)

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON D C 20231

Dear Sir/Madam:

I, THOM M. PERLMUTTER, hereby state that I am an inventor to be added to the above-identified United States Patent Application No. 09/440,903, and that my inadvertent non-inclusion as an iventor was an inventorship error that occurred without any deceptive intention on my part, and further I declare that the facts set forth in this Declaration are true and all statements made of my own knowledge are true and all statements made on information and belief are believed to be true and further that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of U.S. Patent Application No. 09/440,903.

Dated:

C:\win\PATENTS\PERLMUTTER.DEC.C1.wpd

 $\mathbf{R}\mathbf{v}$

THOM M. PERLMUTTE